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Approved for use through 10/31/2002 OMB 0651-0032

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. MIT-086AUS

First Inventor Feniosky Peña-Mora

Title Dynamic Planning Method and System

Express Mail Label No. EF305410717US

Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. X 8. Nucleotide and/or Amino Acid Sequence Submission 2. See 37 CFR 1.27. (if applicable, all necessary) (preferred arrangement set forth below) 34 3. Computer Readable Form (CRF) - Descriptive title of the invention b. Specification Sequence Listing on: Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, i i. 🔲 paper or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention Brief Summary of the Invention
 Brief Description of the Drawings (if filed) **ACCOMPANYING APPLICATION PARTS** - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure 10. Attorney (when there is an assignee) 11. English Translation Document (if applicable) 15 4. **X** Drawing(s) (35 U.S.C. 113) [ Total Sheets Copies of IDS Information Disclosure 12. 5. Oath or Declaration [ Total Pages Citations Statement (IDS)/PTO-1449 Preliminary Amendment Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) 13. Return Receipt Postcard (MPEP 503) 14. **X** (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR 16. 🗶 1 63(d)(2) and 1.33(b) (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. 🗶 ······ Patent Application Title Page Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Continuation-in-part (CIP) Divisional of prior application No \_ Prior application information Group Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS X Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Kermit Robinson Name Daly, Crowley & Mofford, LLP 275 Turnpike Street, Suite 101 Address Canton State MA 02021-2310 Zip Code City US 781.401.9988 ext. 24 781**-**401-9966 Country Telephone 48,734

Name (Print/Type)

Kermit Robinson

Registration No. (Attorney/Agent)

Signature

Date

Date

Burden Hour Statement This form is estimated to take 0.2 burs to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$)	388

Complete if Known			
Application Number	Not Yet Assigned		
Filing Date	Herewith		
First Named Inventor	Feniosky Pena-Mora		
Examiner Name	Not Yet Assigned		
Group Art Unit	Not Yet Assigned		
Attorney Docket No.	MIT-086PUS		

ļ	METHOD OF PAYMENT FEE CALCULATION (continued)			
1	1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES		
	Deposit	Large Small Entity Entity		
ł	Account Number 50-0845	Fee Fee Fee Fee Fee Description F	ee Paid	
ı	Deposit Deposit	Code (\$) Code (\$)	0	
į	Account Name Daly, Crowley & Mofford, LLP	105 130 205 65 Surcharge - late filing fee or oath		
Pically United	Charge Any Additional Fee Required Under 37 CFR 1 16 and 1 17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet		
ately Tee	Applicant claims small entity status	139 130 139 130 Non-English specification		
# .ters;	See 37 CFR 1 27  2.  Payment Enclosed:	147 2,520 147 2,520 For filing a request for ex parte reexamination		
	2. X Payment Enclosed:  * Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR pnor to Examiner action		
֓֡֞֞֞֜֞֜֞֜֞֜֞֜֜֡֓֓֓֓֓֡֜֜֡֜֜֡֓֓֡	FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action		
77	1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month		
	Large Entity Small Entity	116 400 216 200 Extension for reply within second month		
ileas.	Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month		
off Carden	101 740 201 370 Utility filing fee 370	118 1,440 218 720 Extension for reply within fourth month		
ž I	106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month		
Į,	107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal		
ile in	108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal		
flantra in	114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing		
١	SUBTOTAL (1) (\$) 370	138 1,510 138 1,510 Petition to institute a public use proceeding		
ŀ		140 110 240 55 Petition to revive - unavoidable		
1	2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional		
1	Extra Claims below Fee Paid	142 1,280 242 640 Utility Issue fee (or reissue)		
ı	Total Claims   22   -20** =   2   X   9   =   18	143 460 243 230 Design issue fee		
ı	Claims	144 620 244 310 Plant issue fee		
ı	Multiple Dependent	122 130 122 130 Petitions to the Commissioner		
ı	Large Freith, C., H.F. W.	123 50 123 50 Processing fee under 37 CFR 1.17(q)		
ı	Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt		
-	Code (\$)         Code (\$)           103         18         203         9         Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)		
	102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))		
ı	104 280 204 140 Multiple dependent claim, if not paid  109 84 209 42 ** Reissue independent claims	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))		
I	over original patent  110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)		
	and over original patent	169 900 169 900 Request for expedited examination		
I	SUBTOTAL (2) (\$) 18	of a design application Other fee (specify)		
**or number previously paid, if greater, For Reissues, see above *Reduced by Basic Filing Fee Paid <b>SUBTOTAL (3)</b> (\$)				

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Kermit Robinson	Registration No (Attorney/Agent) 48,734	Telephone	781.401.9988 ext. 24
Signature	Herrin Mothman		Date	Feb 6, 2002

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

	First Named Inventor		Feniosky Pena-Mora	
Title		DYNAMIC	PLANNING METHOD AND SYSTEM	
	Atty Docket Number		MIT-086AUS	_

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Feb 6, 2002

Date

Signature

Kermit Robinson

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**